

E UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frederick G. St. Goar

Art Unit: 3732

Serial No.: 10/820,581

Examiner: Unknown

Filed

April 7, 2004

Conf. No.: 8576

Title

: METHODS AND APPARATUS FOR CARDIAC VALUE REPAIR

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement (2 pages), Form PTO-1449 (1 page) and a return postcard for filing in connection with the aboveidentified application. Because this Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 06-1050, as stated below:

X The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

November 11, 2005

Fred C. Hernandez Reg. No. 41,832

PTO Customer No.: 20985

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Telephone: (858) 678-5625 Facsimile: (202) 626-7796 Email: fhernandez@fr.com

> CERTIFICATE OF MAILING BY FIRST CLASS MAIL I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

	November 11, 2005	
Date of Deposit	Dry. 3.	
Signature		
	Karla Zavala	

Attorney's Docket No.: 17315-002002

UNITED STATES PATENT AND TRADEMARK OFFICE

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN **ACCORDANCE WITH 37 C.F.R. §§1.97-1.98**

Dear Sir:

Because this Supplemental Information Disclosure Statement is filed before the receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) is provided herewith in connection with the above-captioned application.

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Since the last Supplemental Information Disclosure Statement was filed on September 19, 2005, no additional pending U.S. and International Applications that have one or more common inventors and/or are commonly owned need be disclosed.

> CERTIFICATE OF MAILING BY FIRST CLASS MAIL I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Applicant: Frederick G. St. Goar

Serial No.: 10/820,581

Filed : April 7, 2004 Page

: 2 of 2

Attorney's Docket No.: 17315-002002 Supplemental Information Disclosure Statement

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b)

exists. Applicant respectfully requests that the Examiner review the foregoing references and

make them of record in the file history of the above-captioned application.

Respectfully submitted,

November 11, 2005 Date:

> Fred C. Hernandez Reg. No. 41,832

PTO Customer No.: 20985 Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-5625

Facsimile: (202) 626-7796 Email: fhernandez@fr.com

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Substitute	Form /	TO-1449	
(Modified)	- /		

V.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 17315-002002

Application No. 10/820,581

Paterits and Publications for Applicant's Information Science Statement List of Pate

Applicant

Frederick G. St. Goar

Filing Date April 7, 2004

Group Art Unit 3732

(37 CFR §1.98(b))

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L			U.S. Patent	Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
*	AA	2004/0030382	2/12/04	St. Goar et al.			
*	AB	2005/0021056	1/27/05	St. Goar et al.		·	
*	AC	2005/0021057	1/27/05	St. Goar et al.			
*	AD	2005/0033446	2/10/05	Deem et al.			
*	AE	6269819	8/7/01	Oz et al.			

	Foreign Patent Documents or Published Foreign Patent Applications							
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
		None						

	Other Documents (include Author, Title, Date, and Place of Publication)				
Examiner Initial	Desig. ID	Document			
		None			

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.